



GLOBAL PARTNER

2023

Introduction

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About Doo Group





- Established in 2014, headquartered in Singapore.
- A multi-faceted financial services group with financial technology at its core.



Mission

- To provide clients with a safe, reliable, professional, as well as convenient **trading** and **asset management services**



Vision

- To **be a leading global financial services group** with financial technology at its core



Value

- **Clients Come First**
- **Integrity, Reliability** and **Fairness**
- **Corporate Social Responsibility (CSR)**



About us



650+

Employees

10+

Global Offices



Our Business





DOO GROUP

Brokerage

Doo Clearing

Clearing House

 DOO FINANCIAL

Onshore Brokerage

 **Doo Prime**

Offshore Brokerage

Wealth Management

 **Doo Wealth**

Payment & Exchange

DOO PAYMENT
EXCHANGE

Fintech

 **DOO TECH**

 **FinPoints**

Business line

Brokerage





Clearing House

Onshore Brokerage

Offshore Brokerage



<https://www.doo clearing.com/>

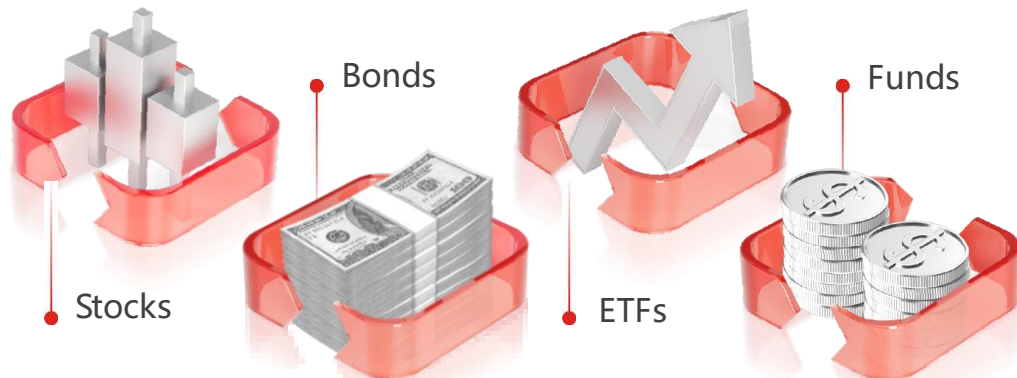


<https://www.doofinancial.com/>

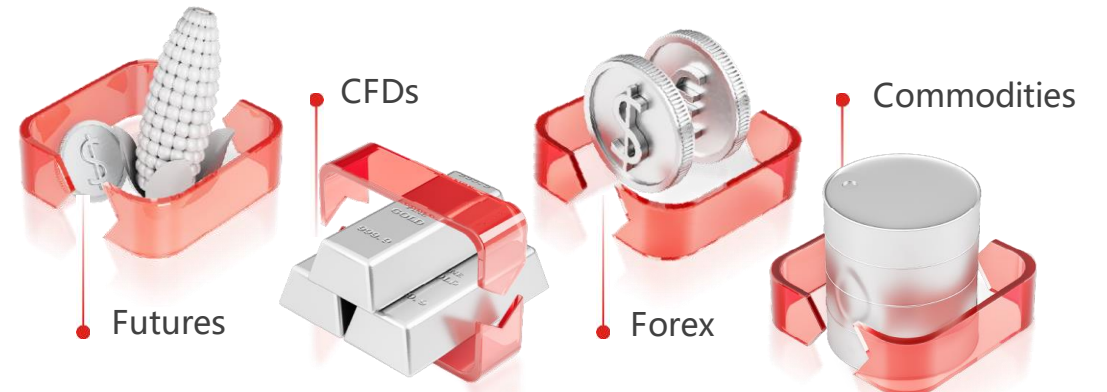


<https://www.dooprime.com/>

Securities Products

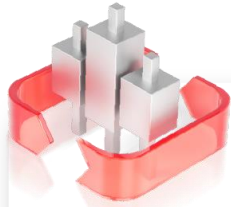


Leveraged Products





Stocks



- US Equities CFDs



- HK Equities CFDs



Futures



- Germany Dax 30 DAX
- US S&P 500 ES
- Nasdaq 100 NQ
- Dow Jones YM
- HKEX Hang Sheng HSI

Forex



- AUDUSD
- EURUSD
- GBPUSD
- NZDUSD
- USDCAD

Commodities



- Oil**
 - UK Brent Oil
 - Natural Gas
 - US West Texas Oil
- Metal**
 - Golden
 - Silver

Funds



- JPM America Equity
- Schroder ISF- Latin American
- Fidelity Funds- Global Technology Fund



Global Regulations



Elish & Elish Inc.
SEC CIK #0000853720

SEC report • CIK • E&E & E&H Inc.

Elish & Elish Inc. is a broker-dealer regulated by the U.S. Security and Exchange Commission and incorporated in the state of Pennsylvania. For financial reporting, their fiscal year ends on December 31st. This page includes all SEC registration details as well as a list of all documents (S-1, Prospectus, Current Reports, 8-K, 10K, Annual Reports) filed by Elish & Elish Inc..

Company Details	
Reporting File Number	008-41551
Regulated Entity Type	Broker - Dealer
State of Incorporation	PENNSYLVANIA
Fiscal Year End	12-31
Date of Edgar Filing Update	2020-10-23
Business Address	7700 WINDROSE AVENUE STE 300 PLANO TX 75024
Business Phone	2149067699
Mailing Address	7700 WINDROSE AVENUE STE 300 PLANO

PETER ELISH INVESTMENTS SECURITIES
CRD# 24409
SEC# 8-41551

Main Office Location
5049 EDWARDS RANCH ROAD
STE 400
FORT WORTH, TX 76109
Regulated by FINRA Dallas Office

Mailing Address
5049 EDWARDS RANCH ROAD
STE 400
FORT WORTH, TX 76109

Business Telephone Number
2149067699

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile
This firm is classified as a corporation. This firm was formed in Pennsylvania on 05/22/1989. Its fiscal year ends in September.

Disclosure Events
Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Firm History
Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations
This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 0 U.S. states and territories

Are there events disclosed about this firm? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	1

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 11 types of businesses. This firm is affiliated with financial or investment institutions. This firm has referral or financial arrangements with other brokers or dealers.

Individual prohibitions Fund search Other registers Data and downloads

Enter a name or reference number

Postcode or town (optional)

Home

DOO Clearing Limited
Reference number: 833414

This firm is authorised for specific activities and product types. It's important to check the full record for what regulated activities this firm has permission to do.

Firms we regulate may also carry out activities that are not regulated by either the FCA or the Prudential Regulation Authority (PRA). Unregulated activities may not be covered by the Financial Ombudsman Service (FOS) or the Financial Services Compensation Scheme (FSCS). If an activity undertaken by a firm is regulated by us or the PRA, then you should ask the firm to confirm in writing what protections will be in place in the event of a complaint or claim compensation.

Australian Financial Services Licence

DOO FINANCIAL AUSTRALIA LIMITED
ABN: 50 100 139 820
Licence No: 222650

was licensed as an Australian Financial Services Licence pursuant to section 938B of the Corporations Act 2001. The conditions of the licence are hereby varied from the date hereunder. The licensee shall continue to be licensed as an Australian Financial Services Licence subject to the conditions and restrictions which are prescribed, and to the conditions contained in this licence and attached schedules.

Effective 17 November 2021.

Authorisation

1. This licence authorises the licensee to carry on a financial services business to:

- provide general financial product advice for the following classes of financial products:
 - deposit and payment products limited to:
 - basic deposit products;
 - derivatives;
 - debentures, stocks or bonds issued or proposed to be issued by a government;
 - interests in managed investment schemes excluding investor directed portfolio services and securities;
- security;
- deal in a financial product by:
 - issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - derivatives; and
 - interests in managed investment schemes excluding investor directed portfolio services; and
 - applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - basic deposit products;
 - derivatives;
 - general insurance products;
- operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in the capacity as responsible entity:
 - schemes which only hold the following types of property:
 - direct real property;
 - financial assets; and
 - managed; and
- provide the following custodial or depository services:
 - operate custodial or depository services other than investor directed portfolio services to retail and wholesale clients;
 - debentures, stocks or bonds issued or proposed to be issued by a government;
 - interests in managed investment schemes excluding investor directed portfolio services; and securities;
 - operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in the capacity as responsible entity:
 - schemes which only hold the following types of property:
 - direct real property;
 - financial assets; and
 - managed; and



US SEC
License No: SEC 8-41551



US FINRA
License No: CRD 24409



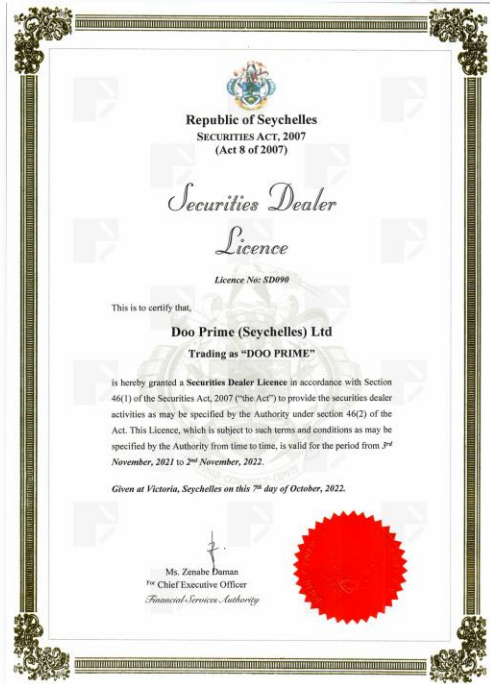
UK FCA
License No: 833414



AU ASIC
License No: 222650

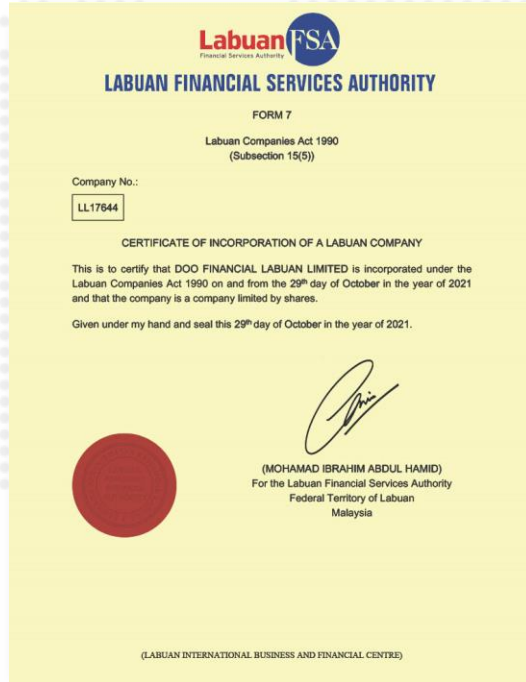


Global Regulations



SC FSA

License No: SD090



MY Labuan FSA

Company No: LL17644



MU FSC

License No: C119023907



VU FSC

License No: 700238



Partners - Financial



- Liquidity Provider



- Exchange

- U.S. Stock Exchange



- Hong Kong Stock Exchange



- Australia Stock Exchange



Partners - Market Data



U.S. & Hong Kong Stock Data

REFINITIV



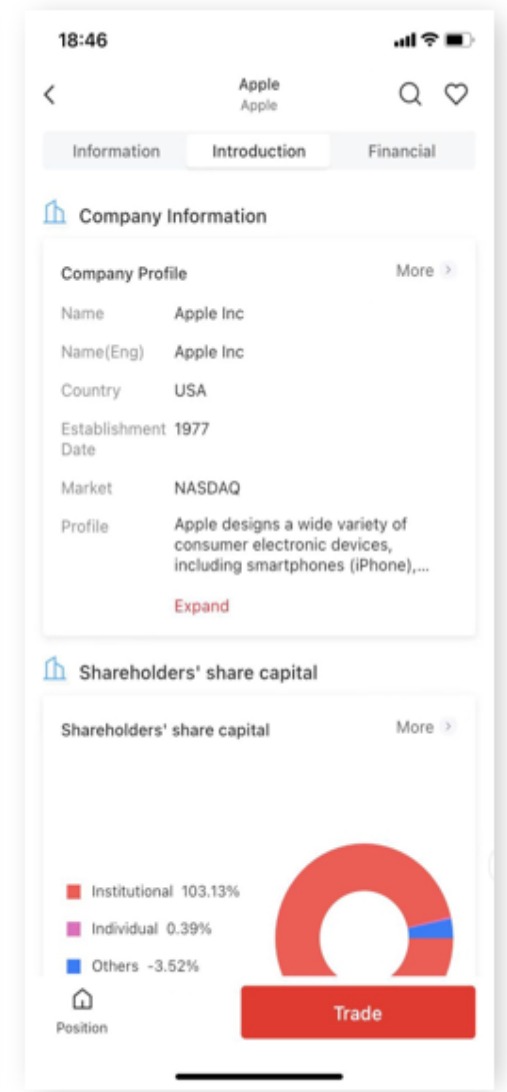
Breaking News

Bloomberg

Featured Investment
Bank Research Reports

iFAST

Wealth Management Solutions



Business line

Wealth Management





Doo Wealth



Wealth management consulting

- Financial analysis and planning
- Discretionary asset management



Fund

- Fund establishment and administration



Insurance

- Premium Financing



Trust

- Family wealth and estate planning
- Tax planning





Doo Wealth



Fund

- Global Fund investments



Insurance

- General Insurance
- Life Insurance
- Saving Insurance
- Health Insurance
(Critical illness, medical , accident)



Fixed income

- Bond
- Notes



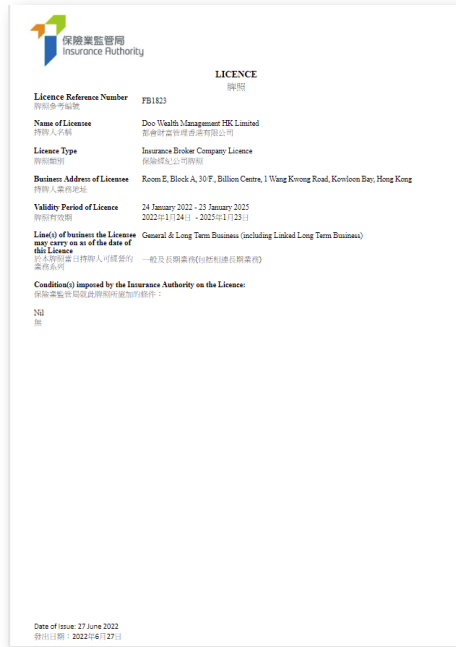
Trust

- Family trust
- Corporate trust
- Investment trust
- Charitable trust



HK SFC

Central Entity No.: BSM562



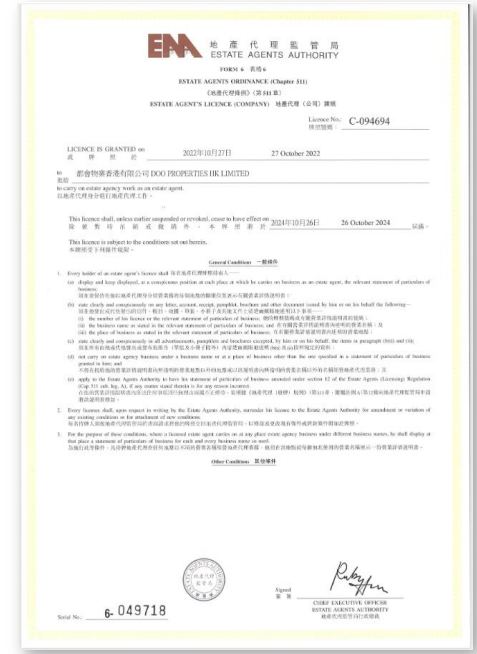
HK Insurance Broker

License No.: FB1823



HK Trust Company

Company No.: 2601567



HK EAA

License No.: C-094694



Partners - Insurance



Minkfair Insurance
Brokers Ltd.



Business line

Payment & Exchange





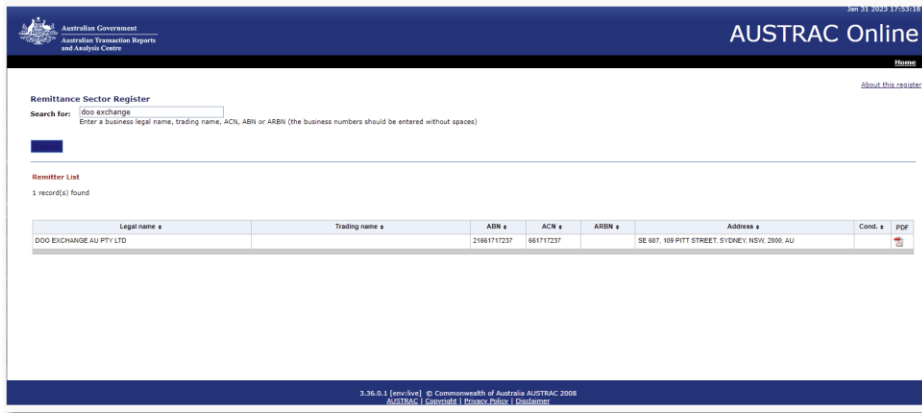
DOO PAYMENT EXCHANGE

- Foreign Currency Exchange Provider
- Cross-border payment
- Global remittance

20+
Currencies

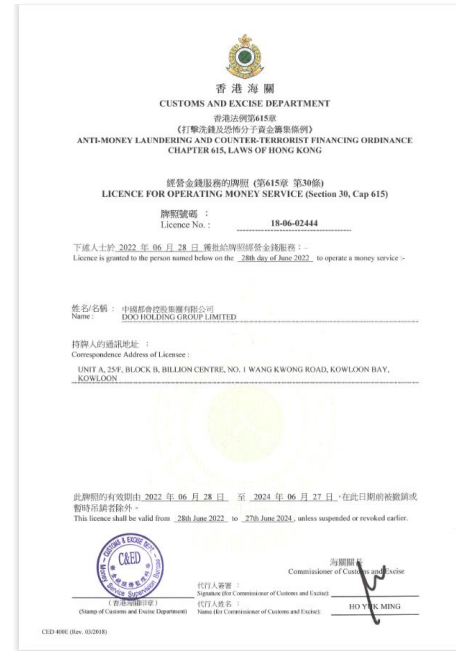
10+
Channels





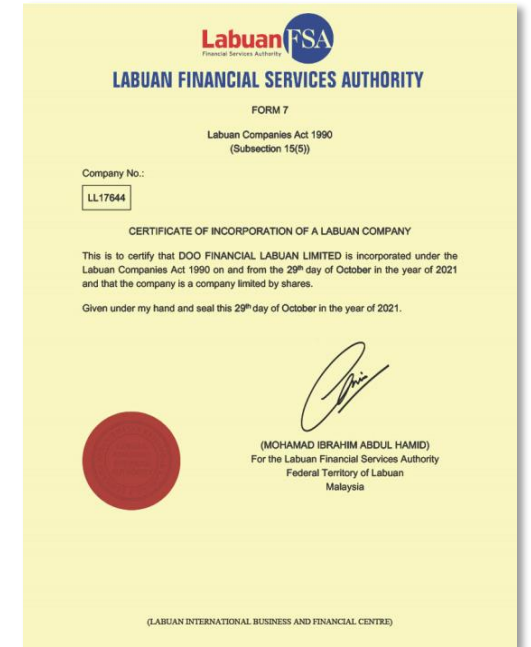
AU AUSTRAC

Independent Remittance: IND100812107-001
Digital Currency Exchange: DCE100812107-001



HK Money Service Operator

License No.: 18-06-02444



MY Labuan FSA

Company No.: LL17644



Business line

Fintech



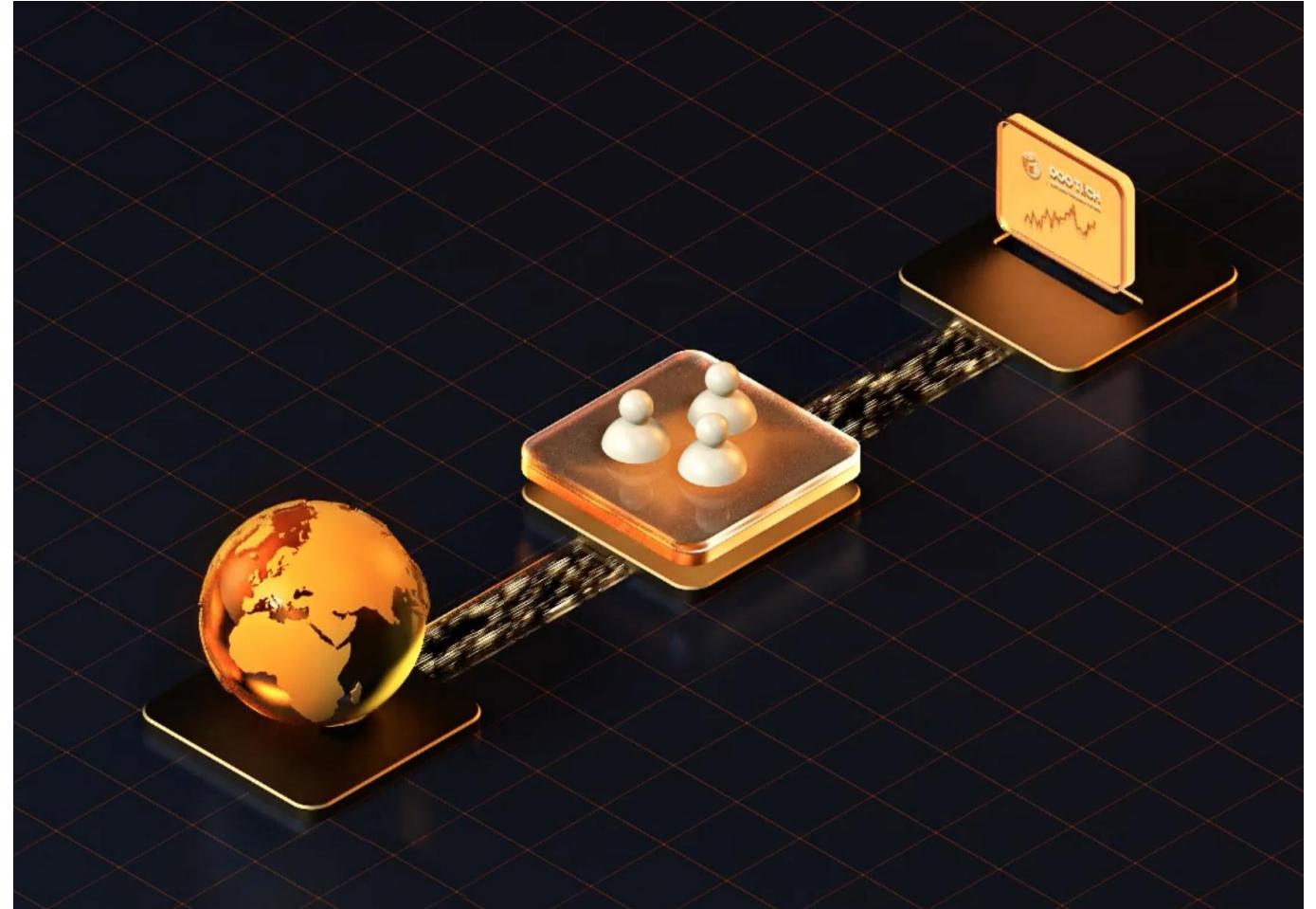


DOO TECH



FinPoints

- Specialize in providing one-stop technical solutions for financial institutions
- Including: trading software, quotation, market data, etc.



Partners - Technical



- Infrastructure Partners



- Global Network Partners



- Virtual Technology Partners



- Trading Software Partners



- Clearing Partners



Partnerships



Global Partnerships

- Established a long-term Global Partnership with **Manchester United** starting from 2023 to 2026
- Established a long-term partnership with **Adelaide United FC** starting from 2022



GLOBAL PARTNER



GLOBAL PARTNER

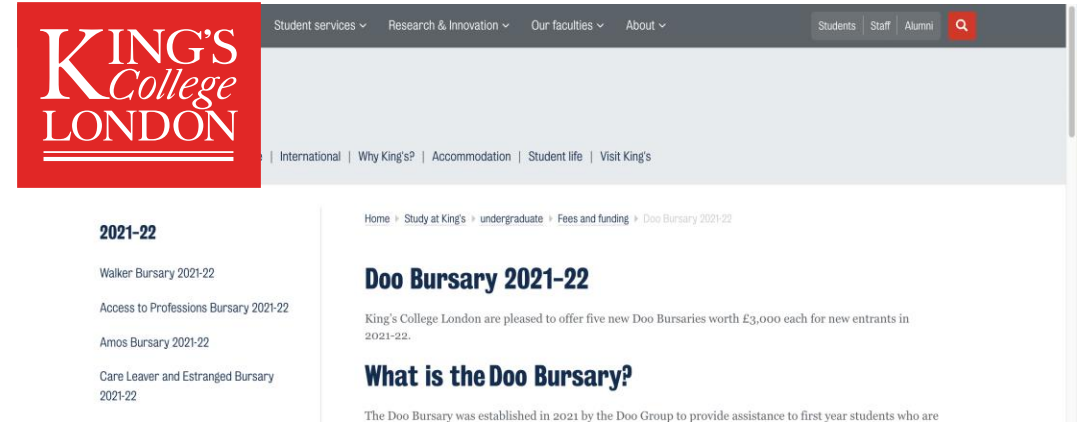




▪ Schools for Asia



▪ SOS Children's Villages Thailand



▪ Doo Bursary



▪ China Walking With Love
– Care for Primary Schools Collaboration

Media Partnerships



- Portal websites
- Popular Social Media Sites
- Industry Leading Media
- Search Engines




200+









GLOBAL PARTNER

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